

DEPARTMENT OF FINANCIAL SERVICES PURCHASING DIVISION

75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

Atlanta Capital Management Company Company Submitting Bid/Proposal		
If additional space is required, please attach list: _		
Gwinnett County Elected Official Name	Gwinnett County Ele	ected Official Name
Gwinnett County Elected Official Name	Gwinnett County Ele	ected Official Name
BV:		
Authorized Officer or Agent Signature	Sworn to and subso	cribed before me this
ames A. Womack	$_{-}$ 5 day of $\stackrel{A}{\mathbb{A}}$	ugust, 2024
rinted Name of Authorized Officer or Agent		CHELE
Managing Director, Portfolio Manager	Michele	COMMISS
itle of Authorized Officer or Agent of Contractor	Notary Public	ON OF OLICE OF STATE
		NATY GEORIUS
	Please select one of the following: No information to disclose (complete only section) Disclosed information below (complete section) If additional space is required, please attach list: Gwinnett County Elected Official Name Gwinnett County Elected Official Name Authorized Officer or Agent Signature ames A. Womack rinted Name of Authorized Officer or Agent Managing Director, Portfolio Manager	Please select one of the following: No information to disclose (complete only section 4 below) Disclosed information below (complete section 3 & section 4 below) If additional space is required, please attach list: Gwinnett County Elected Official Name Authorized Officer or Agent Signature ames A. Womack Finted Name of Authorized Officer or Agent Managing Director, Portfolio Manager Managing Director, Portfolio Manager



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Buckhead Capital Manage Company Submitting Bid/Proposal	gement, LLC	
2.	Please select one of the following: ☐ No information to disclose (complete only section) ☐ Disclosed information below (complete section 3 and a section 3 and 3 a		
3.	If additional space is required, please attach list: _		
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name	
4.	BY:		
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this	
-	John D. Swanson	6 day of August, 2024	
Printed Name of Authorized Officer or Agent			
_	Managing Member	Gold Comment	
1	Title of Authorized Officer or Agent of Contractor	Notary Public	
	·	G Seal TAAL AN AUBLIC ON THE SEAL OF THE S	
	Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The COUNTY COUN		
	Proud Winner of the Annual Achievement of Excellence Award in Procurement since 1999		



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Columbia Management Investment Advisers, LLC (Company Submitting Bid/Proposal	CMIA)
2.	Please select one of the following: ☑ No information to disclose (complete only section: ☐ Disclosed information below (complete section:)	
		g in any independent verification, CMIA is not aware of any of it has knowledge of having a direct or indirect pecuniary stractors.
3.	If additional space is required, please attach list:_	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	BY: Prin Jun	
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
-	Francine Asselta	<u>25th</u> day of <u>July</u> , <u>2024</u>
F	Printed Name of Authorized Officer or Agent	
-	Head of North America Institutional Fitle of Authorized Officer or Agent of Contractor	Notary Public Massachusetts My Commission Expires Mar 29, 2030 (Seal)



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

(seal)

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

۷.	imated of its same of its and	
1.	Dana Investment Advisors, Inc.	
**	Company Submitting Bid/Proposal	
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section	
3.	If additional space is required, please attach list: _	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	Bx Dave Mary	A JAY B. A
	Authorized Office of Agent Signature	Sworn to and subscribed before me this
I	Dave V. Mazza, Vice President	27th day of Aubost 2024 MISCONSINA
ŗ	Printed Name of Authorized Officer or Agent	
	Vice President	Ton Ston / Jay B KAUN
٠	Fitle of Authorized Officer or Agent of Contractor	Notary Public Commission 02/25/2025



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

l.	Company Submitting Bid/Proposal	
2.	Please select one of the following: X No information to disclose (complete only section □ Disclosed information below (complete section)	tion 4 below) 3 & section 4 below)
3.	If additional space is required, please attach list:	-
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
-	Printed Name of Authorized Officer or Agent	
	Paw tree Title of Authorized Officer or Agent of Contractor	NotaryPublic Reall NotaryPublic Reall NotaryPublic
	Note: See Gwinnett County Code of	Ethics Ordinance EO2011, Sec. 54-33. The



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Garcia Hamilton & Associates, L.P.	
	Company Submitting Bid/Proposal	
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section ☐	
3.	If additional space is required, please attach list: _	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	BY: Dethal. Masilianis	
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
_]	Beth L. McWilliams	
F	Printed Name of Authorized Officer or Agent	
_	Chief Compliance Officer Title of Authorized Officer or Agent of Contractor	emily deis Notary Public
		Emily Marie Davis My Commission Expires 5/21/2028 (seal)



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Garcia Hamilton & Associates, L.P.	
	Company Submitting Bid/Proposal	
	•	
2.	Please select one of the following:	
	 ☑ No information to disclose (complete only section ☑ Disclosed information below (complete section 3 	
		,
3.	If additional space is required, please attach list: _	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	1 101 (
4	BY Dethe Mewellians	~
٠.		
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
_	Beth L. McWilliams	<u>5th</u> day of <u>August</u> , 20 <u>24</u>
F	Printed Name of Authorized Officer or Agent	
		ani la deia
-	Chief Compliance Officer	emilyden
7	itle of Authorized Officer or Agent of Contractor	Notary Public
		Emily Marie Davis (seal)
		My Commission Expires 5/21/2028



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

allillates of its subcontract	J. G.		
Income Research + Ma	anagement ("IR+M")		
Company Submitting Bio	d/Proposal		
2. Please select one of the☑ No information to dis☑ Disclosed informatio	following: sclose (complete only section on below (complete section 3	n 4 below) 8 & section 4 below)	
3. If additional space is rec	quired, please attach list: _ ·		
Gwinnett County Electer	d Official Name	Gwinnett County Elected Official	Name
Gwinnett County Electe	d Official Name	Gwinnett County Elected Official	Name
4. BY: Deek			
Authorized Officer or	Agent Signature	Sworn to and subscribed before	
Richard Kizik		30th day of July	_, 20 <u>2</u> 4
Printed Name of Authoriz	zed Officer or Agent	500	
Chief Compliance C	Officer er or Agent of Contractor	Multo (S) Notary Public	8
		Kimiko K Butcher Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires	(seal)

Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The

November 1, 2030



75 Langley Drive | Lawrenceville, GA 30046-6935 0: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

(4)

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the ve

affiliates or its subcontractors:		
1.	Insight North America	
	Company Submitting Bid/Proposal	,
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section	on 4 below) 3 & section 4 below)
3.	If additional space is required, please attach list: _	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
		The state of the s
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	BY: Authorized Officer or Agent Signature	Sworn to and subscribed before me this
<u>.</u>	enna Maguire	15†
Pı	inted Name of Authorized Officer or Agent	day of <u>August</u> 2024
Н	ead of Client Service - North America	Danny An
Tit	le of Authorized Officer or Agent of Contractor	DANNY C. HA Notary Public, State of New York No. 02HA6100178 Qualified in New York County Commission Exp(ssal)anuary 13, 2028

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The Proud Winner of the Annual Achievement of Excellence Award in Procurement since 1999



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

affiliates or its subcontractors:	
Legal & General Investment Managemen Company Submitting Bid/Proposal	t America, Înc.
 Please select one of the following: X No information to disclose (composition) Disclosed information below (corrected) 	olete only section 4 below) nplete section 3 & section 4 below)
3. If additional space is required, please	e attach list: _
Gwinnett County Elected Official Nam	ne Gwinnett County Elected Official Name
Gwinnett County Elected Official Nam	ne Gwinnett County Elected Official Name
4. BY:	
Authorized Officer or Agent Signatu	re Sworn to and subscribed before me this
Don Andrews	
Printed Name of Authorized Officer or	Agent
Head of Distribution & Client Solutions	Onne E. Merriman
Title of Authorized Officer or Agent of	Contractor Notary Public ANNE E MERRIMAN Official Seal Notary Public - State of Illinois My Commission Expires Feb 19, 2025

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

۱.	Legal & General Investment Management America, Inc.	
•	Company Submitting Bid/Proposal	
2.	Please select one of the following: X No information to disclose (complete only section Disclosed information below (complete section 3 &	4 below) & section 4 below)
3.	If additional space is required, please attach list: _	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	BY:	
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
1	Don Andrews	
F	Printed Name of Authorized Officer or Agent	
_	Head of Distribution & Client Solutions	anne E. Merriman
	Title of Authorized Officer or Agent of Contractor	Notary Public ANNE E MERRIMAN Official Seal Notary Public - State of Illinois My Commission Expires Feb 19, 2025

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

Loop Capital Asset Management - TCH, Ll	_C
Company Submitting Bid/Proposal	
 Please select one of the following: ☑ No information to disclose (complete only section) ☑ Disclosed information below (complete section) 	
3. If additional space is required, please attach list: _	
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4. BY: Adı MM'	
Authorized Officer or Agent Signature	Sworn to and subscribed before me this
Adam Phillips	_ 5 day of Avans, 2024
Printed Name of Authorized Officer or Agent	
Managing Director Title of Authorized Officer or Agent of Contractor	Notary Public RON C. MAHAFFY, JR. (See) MY COMMISSION # HH 474475 EXPIRES: March 28, 2028



75 Langley Drive | Lawrenceville, GA 30046-6935 0: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Public Trust Advisors, LLC d/b/a PT Advisors, LLC	
•	Company Submitting Bid/Proposal	
2.	Please select one of the following: ☐ No information to disclose (complete only section ☐ Disclosed information below (complete section 3	
3.	If additional space is required, please attach list:	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4	BY: Mady	
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
-	John F. Grady	5th day of August, 2024
-	Printed Name of Authorized Officer or Agent	
-	Managing Director	Notary Public State of Florida Mary K Rose My Commission HH 368148 Expires 3/8/2027
	Title of Authorized Officer or Agent of Contractor	Notary Public
		(seal)



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

> My Comm. Expires Sep 24, 2027 Bonded through National Notary Assn.

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1. Sawgrass Asset Management, LLC	
Company Submitting Bid/Proposal	
 Please select one of the following: No information to disclose (complete only sect □ Disclosed information below (complete section) 	
3. If additional space is required, please attach list: _	-
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4. BY: Austral Junfor	
Authorized Officer or Agent Signature	Sworn to and subscribed before me this
David A. Furfine	
Printed Name of Authorized Officer or Agent	(
Partner	MARlender.
Title of Authorized Officer or Agent of Contractor	Notary Public VICTORIA HENDERSON Notary Public State of Flor Commission # HH 447219



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

١.	Sterling Capital Management LLC	
	Company Submitting Bid/Proposal	
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section 3 of the following)	4 below) & section 4 below)
3.	If additional space is required, please attach list:	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
10.00	Authorized Officer or Agent Signature Scott A. Haenni, JD, MBA	Sworn to and subscribed before me this
-	Printed Name of Authorized Officer or Agent	33,0,0,0
-	Senior Managing Director & Chief Executive Officer Fitle of Authorized Officer or Agent of Contractor	Notary Public Notary Public - North Carolina Iredell County My Commission Expires (14) 6, 2025



75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

	•		
1.	Teachers Insurance and Annuity Association of America® (TIAA®)		
	Company Submitting Bid/Proposal		
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section 3		RRO32-24 Nuveen LLC
3.	If additional space is required, please attach list: _		
	Gwinnett County Elected Official Name	Gwinnett County Elected Office	cial Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Office	cial Name
4.	BY: Sind Stathpoole		
	Authorized Officer or Agent Signature	Sworn to and subscribed before	
_	Dimitrios N. Stathopoulos	30 day of July	<u>20_24</u>
F	rinted Name of Authorized Officer or Agent		
7	Sr. Managing Director - Head of Americas nstitutional Advisory Services itle of Authorized Officer or Agent of Contractor	Notary Public	Official Seal Anne (Stal)a Cahalan Stary Public State of Illinois
			Commission Expires 3/24/2026

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

FIRM NAME

Proud Winner of the Annual Achievement of Excellence Award in Procurement since 1999

Parent: Teachers Insurance and Annuity Association of America® (TIAA®)

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

1. Wellington Management Company LLP

ection 4 below)
ction 3 & section 4 below)
h list:
Gwinnett County Elected Official
${\bf Gwinnett County Elected Official}$
*

1



Authorized Officer or Agent Signature

DESMOND HAVLICEK

Sworn to and subscribed before me this

1 day of AUGUST 20 24

Printed Name of Authorized Officer or Agent

PATTER + PENICE May DIKECTOR

Title of Authorized Officer or Agent of Contractor

Leagher Supen 8/1/24

Notary Public

(seal)





75 Langley Drive | Lawrenceville, GA 30046-6935 O: 770.822.8720 | F: 770.822.8735 GwinnettCounty.com

Page 34

RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

1.	Western Asset Management Company, LLC Company Submitting Bid/Proposal	
2.	Please select one of the following: ☑ No information to disclose (complete only section ☐ Disclosed information below (complete section 3 to 2)	
3.	If additional space is required, please attach list:	
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
	Gwinnett County Elected Official Name	Gwinnett County Elected Official Name
4.	BY: Mar & asl	
	Authorized Officer or Agent Signature	Sworn to and subscribed before me this
V	Aarzo Bernardi	day of, 20
F	Printed Name of Authorized Officer or Agent	See affached
Ē	Pirector of Global Client Service & Marketing	
٦	Title of Authorized Officer or Agent of Contractor	Notary Public
		(seal)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. State of California County of Los Angeles Subscribed and sworn to (or affirmed) before me on this 29th , 2<u>0 24</u>, by <u>Márzo Bernardi</u> day of July proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me. KELLI CELESTE CARROLL Notary Public - California Los Angeles County Commission ≠ 2386527 My Comm. Expires Dec 12, 2025 Signature L. Curt (Seal)