



RP032-24 Provision of Investment Advisory and Portfolio Management Services on an Annual Contract

CODE OF ETHICS AFFIDAVIT

PLEASE RETURN THIS FORM COMPLETED WITH YOUR SUBMITTAL. SUBMITTED FORMS ARE REQUIRED PRIOR TO EVALUATION.

In accordance with Section 54-33 of the Gwinnett County Code of Ordinances the undersigned bidder/proposer makes the following full and complete disclosure under oath, to the best of their knowledge, of the name(s) of all elected officials whom it employs or who have a direct or indirect pecuniary interest in or with the vendor, its affiliates or its subcontractors:

1. Atlanta Capital Management Company
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

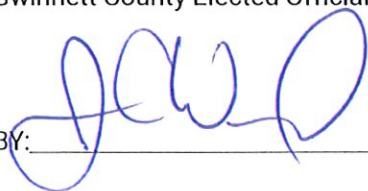
3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: 

Authorized Officer or Agent Signature

Sworn to and subscribed before me this
5 day of August, 2024

James A. Womack

Printed Name of Authorized Officer or Agent

Managing Director, Portfolio Manager



Title of Authorized Officer or Agent of Contractor

Notary Public





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1. Buckhead Capital Management, LLC
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: [Signature]
Authorized Officer or Agent Signature

Sworn to and subscribed before me this

John D. Swanson

6 day of August, 2024

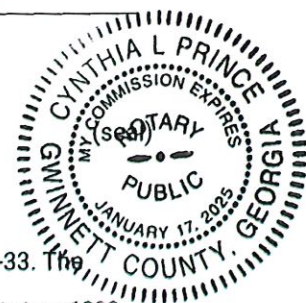
Printed Name of Authorized Officer or Agent

Managing Member

Title of Authorized Officer or Agent of Contractor

[Signature]

Notary Public



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

Proud Winner of the Annual *Achievement of Excellence Award* in Procurement since 1999



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1. Columbia Management Investment Advisers, LLC (CMIA)
Company Submitting Bid/Proposal

- 2. Please select one of the following:
 - No information to disclose (complete only section 4 below)
 - Disclosed information below (complete section 3 & section 4 below)

To the best of our knowledge, and without engaging in any independent verification, CMIA is not aware of any elected officials whom it knowingly employs or who it has knowledge of having a direct or indirect pecuniary interest in or with the firm, its affiliates or its subcontractors.

3. If additional space is required, please attach list: _____

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: 
Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Francine Asselta

25th day of July, 2024

Printed Name of Authorized Officer or Agent

Head of North America Institutional

Title of Authorized Officer or Agent of Contractor

Savion Pennington
Notary Public
Savion Pennington
Notary Public
Massachusetts
My Commission Expires
Mar 29, 2030
(seal)



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1. Dana Investment Advisors, Inc.
_____ Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

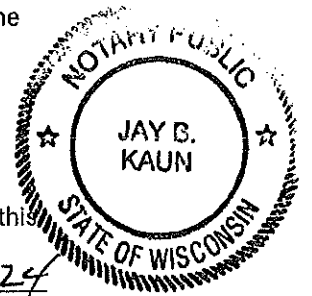
Gwinnett County Elected Official Name

4. BY Dave Mazza

Authorized Officer or Agent Signature
Dave V. Mazza, Vice President

Sworn to and subscribed before me this

27th day of August, 2024



Printed Name of Authorized Officer or Agent

Vice President

Title of Authorized Officer or Agent of Contractor

Jay B Kaun / Jay B Kaun

Notary Public
Commission 02/25/2025
(seal)



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1. EARNEST Partners, LLC
Company Submitting Bid/Proposal

- 2. Please select one of the following:
 - No information to disclose (complete only section 4 below)
 - Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: Chris Fitee

Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Chris Fitee

8 day of August, 2024

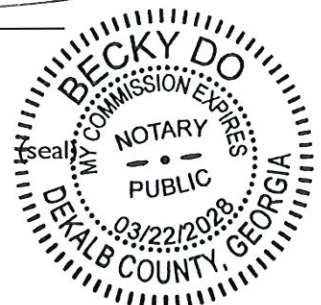
Printed Name of Authorized Officer or Agent

Partner

Title of Authorized Officer or Agent of Contractor

[Signature]

Notary Public





WINNETT COUNTY
DEPARTMENT OF FINANCIAL SERVICES
PURCHASING DIVISION

75 Langley Drive | Lawrenceville, GA 30046-6935
O: 770.822.8720 | F: 770.822.8735
GwinnettCounty.com

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1. Garcia Hamilton & Associates, L.P.
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: Beth L. McWilliams

Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Beth L. McWilliams

5th day of August, 2024

Printed Name of Authorized Officer or Agent

Chief Compliance Officer

emily davis
Notary Public

Title of Authorized Officer or Agent of Contractor



(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

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1. Garcia Hamilton & Associates, L.P.
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: Beth L. McWilliams

Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Beth L. McWilliams

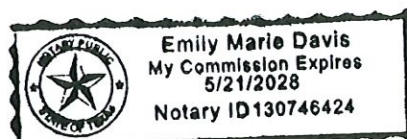
5th day of August, 2024

Printed Name of Authorized Officer or Agent

Chief Compliance Officer

emilydavis
Notary Public

Title of Authorized Officer or Agent of Contractor



(seal)

Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The

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1. Income Research + Management ("IR+M")

Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _____

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: Richard Kizik

Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Richard Kizik

30th day of July, 2024

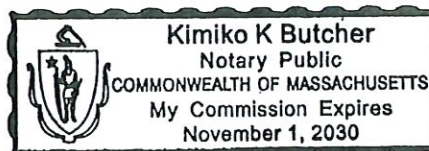
Printed Name of Authorized Officer or Agent

Chief Compliance Officer

Kimiko K Butcher

Title of Authorized Officer or Agent of Contractor

Notary Public



(seal)

Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The

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1. Insight North America
 Company Submitting Bid/Proposal _____

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

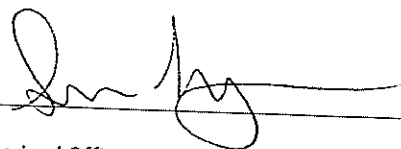
3. If additional space is required, please attach list: _____

 Gwinnett County Elected Official Name

 Gwinnett County Elected Official Name

 Gwinnett County Elected Official Name

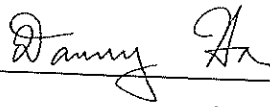
 Gwinnett County Elected Official Name

4. BY: 
 Authorized Officer or Agent Signature

Sworn to and subscribed before me this
 1st day of August, 2024

Jenna Maguire
 Printed Name of Authorized Officer or Agent

Head of Client Service - North America
 Title of Authorized Officer or Agent of Contractor


 Notary Public

DANNY C. HA
 Notary Public, State of New York
 No. 02HA8100178
 Qualified in New York County
 Commission Expires January 13, 2028

Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The Proud Winner of the Annual *Achievement of Excellence Award* in Procurement since 1999



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1. Legal & General Investment Management America, Inc.
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: 

Authorized Officer or Agent Signature
Don Andrews

Printed Name of Authorized Officer or Agent

Head of Distribution & Client Solutions

Title of Authorized Officer or Agent of Contractor

Sworn to and subscribed before me this
1st day of AUGUST, 2024



Notary Public



(seal)

Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

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1. Legal & General Investment Management America, Inc.
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
Disclosed information below (complete section 3 & section 4 below)


3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: 
Authorized Officer or Agent Signature
Don Andrews
Printed Name of Authorized Officer or Agent

Sworn to and subscribed before me this
1st day of AUGUST, 2024

Head of Distribution & Client Solutions
Title of Authorized Officer or Agent of Contractor

Anne E. Merriman
Notary Public





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1. Loop Capital Asset Management - TCH, LLC
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: Adam Phillips

Authorized Officer or Agent Signature

Adam Phillips

Printed Name of Authorized Officer or Agent

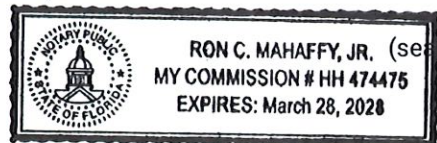
Managing Director

Title of Authorized Officer or Agent of Contractor

Sworn to and subscribed before me this

5th day of August, 2024

[Signature]
Notary Public



Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The

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1. Public Trust Advisors, LLC d/b/a PT Advisors, LLC

Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _____

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: John F. Grady

Authorized Officer or Agent Signature

John F. Grady

Printed Name of Authorized Officer or Agent

Managing Director

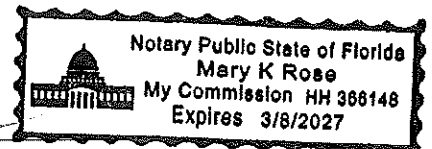
Title of Authorized Officer or Agent of Contractor

Sworn to and subscribed before me this

5th day of August, 2024

Mary K. Rose

Notary Public



(seal)



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1. Sawgrass Asset Management, LLC
Company Submitting Bid/Proposal

- 2. Please select one of the following:
 - No information to disclose (complete only section 4 below)
 - Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: David A. Furfine

Authorized Officer or Agent Signature

David A. Furfine

Sworn to and subscribed before me this

2 day of Aug, 2024

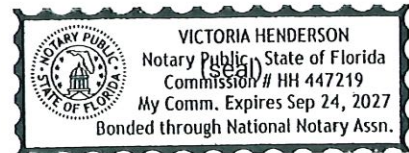
Printed Name of Authorized Officer or Agent

Partner

Victoria Henderson

Title of Authorized Officer or Agent of Contractor

Notary Public



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

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1. Sterling Capital Management LLC
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: [Signature]
Authorized Officer or Agent Signature

Sworn to and subscribed before me this
5 day of August, 2024

Scott A. Haenni, JD, MBA

Printed Name of Authorized Officer or Agent

Senior Managing Director & Chief Executive Officer

[Signature]

Title of Authorized Officer or Agent of Contractor

Notary Public

CHRISTEN ORTH
Notary Public - North Carolina
Iredell County
My Commission Expires Mar 6, 2025

Note: See Gwinnett County Code of Ethics Ordinance E02011, Sec. 54-33. The

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1. Teachers Insurance and Annuity Association of America® (TIAA®)
 Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list: _

*RP032-24
 Nuveen LLC*

 Gwinnett County Elected Official Name

 Gwinnett County Elected Official Name

 Gwinnett County Elected Official Name

 Gwinnett County Elected Official Name

4. BY: *Dimitrios N. Stathopoulos*

Authorized Officer or Agent Signature

Sworn to and subscribed before me this

Dimitrios N. Stathopoulos

30 day of July, 2024

Printed Name of Authorized Officer or Agent

Sr. Managing Director - Head of Americas Institutional Advisory Services

Title of Authorized Officer or Agent of Contractor

Anne Cahalan

Notary Public



Note: See Gwinnett County Code of Ethics Ordinance EO2011, Sec. 54-33. The

FIRM NAME

Proud Winner of the Annual *Achievement of Excellence Award* in Procurement since 1999

Parent: Teachers Insurance and Annuity Association of America® (TIAA®)

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1. Wellington Management Company LLP

Company Submitting Bid/Proposal

2. Please select one of the following:

- No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

3. If additional space is required, please attach list:

Gwinnett County Elected Official Name
Name

Gwinnett County Elected Official

Gwinnett County Elected Official Name
Name

Gwinnett County Elected Official

4. BY: [Signature]

Authorized Officer or Agent Signature

DESMOND HAVLICEK

Printed Name of Authorized Officer or Agent

Sworn to and subscribed before me this

1 day of AUGUST, 2024

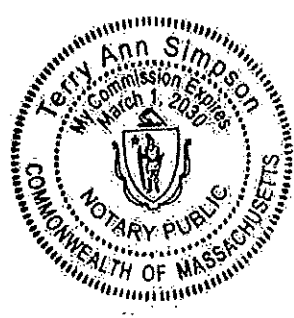
Partner + General Mgr Director

Title of Authorized Officer or Agent of Contractor

Terry Ann Simpson 8/1/24

Notary Public

(seal)





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1. Western Asset Management Company, LLC
Company Submitting Bid/Proposal

2. Please select one of the following:
 No information to disclose (complete only section 4 below)
 Disclosed information below (complete section 3 & section 4 below)

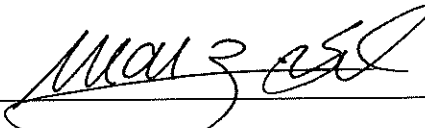
3. If additional space is required, please attach list: _

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

Gwinnett County Elected Official Name

4. BY: 
Authorized Officer or Agent Signature

Sworn to and subscribed before me this
_____ day of _____, 20____

Marzo Bernardi

Printed Name of Authorized Officer or Agent

See attached

Director of Global Client Service & Marketing

Title of Authorized Officer or Agent of Contractor

Notary Public

(seal)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Los Angeles

Subscribed and sworn to (or affirmed) before me on this 29th
day of July, 2024, by Marzo Bernardi

proved to me on the basis of satisfactory evidence to be the
person(s) who appeared before me.



(Seal)

Signature Kelli Celeste Carroll